



Broker Application Form

Company/Broker Information (Corporate Office)

Legal Name:		Business Type:
Doing Business As (D.B.A.):		Mortgage Banker
Contact Name:		Mortgage Broker
E-Mail Address:		Real Estate Agent
Street Address:	Other
City:	State:	Zip:
Phone Number:		
Federal Tax ID:		

Principal Information

Principal Information

Principal's Name:		Percentage Owned:
Title:		
Years in the Industry:		

Principal's Name:		Percentage Owned:
Title:		
Years in the Industry:		

Principal's Name:		Percentage Owned:
Title:		
Years in the Industry:		

Principal's Name:		Percentage Owned:
Title:		
Years in the Industry:		

References

Lender Name:	Phone Number:
Contact Person:	Title:

Lender Name:	Phone Number:
Contact Person:	Title:

Lender Name:	Phone Number:
Contact Person:	Title:

Disclosures

Yes No

Has your company ever been suspended from selling or servicing mortgages by an investor?

Has your company, principals, or corporate officers been named as a defendant in a lawsuit for alleged fraud or misrepresentation in connection with any real estate related activity?

Has your company ever been involved or is currently involved in any litigation?

Has your company, principals, or corporate officers been named as defendant in a criminal proceeding/complaint/conviction for alleged fraud or misrepresentation in connection with any real estate related activity?

Has your company, principals, or corporate officers filed for protection from creditors under any provision of the bankruptcy laws within the past 7 years?

Has your company, principals, or corporate officers ever had a real estate or other professional license suspended, revoked or received any other disciplinary action from a regulatory agency?

Has any lender enforced, or attempted to enforce, the Hold Harmless or Repurchase clause of their correspondent or broker agreement with your company, principals, or corporate officers?

Do all of your physical location at which you originate loan conduct business under the same company name, as listed below, and do all such locations hold licenses, registrations, bonds, and insurance under the same name?

How many commercial mortgage loans has your office closed in the last 12 months?

0 to 5

5 to 10

10 to 15

More than 15

How many employees do you have?

0 to 5

5 to 10

10 to 15

More than 15

Do you have an experienced commercial processing, and underwriting staff?

Who do you currently close commercial loans through?

Signature Page

By submission of this broker application form to First Utah Bank, the below signers, on behalf of the Company, its principals and its employees, hereby consent to the receipt of communications, including, but not limited to, marketing materials, program materials, rate sheets, updates to the Program Documents and any and all such other information related to the subject matter of potential business relationship by electronic mail and telefacsimile at any electronic mail address and telefacsimile address.

For the purpose of inducing First Utah Bank to provide financing for customers of the undersigned, Broker Originator certifies that the above information and all accompanying information to be true and correct, agrees and acknowledges that all declarations and authorizations contained herein apply as part of the Broker Application Form. Broker Originator also hereby authorizes First Utah Bank to obtain additional information and to verify information from any source (including character and credit references).

Broker Originator:

(Full legal name of Company and, if applicable, full name of any and all dba entities)

By:

(Broker/Owner/Corporate Officer Signature)

Title:

Date:
